

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.



Europäisches Patentamt

European Patent Office

Office européen des brevets



Publication number : 0 558 326 A1

EUROPEAN PATENT APPLICATION

Application number : 93301426.8

Int. Cl.⁵ : G06F 1/00

Date of filing : 25.02.93

Priority : 27.02.92 US 843873

Date of publication of application :
01.09.93 Bulletin 93/35

Designated Contracting States :
DE FR GB

Applicant : Hughes Aircraft Company
7200 Hughes Terrace P.O. Box 45066
Los Angeles, California 90045-0066 (US)

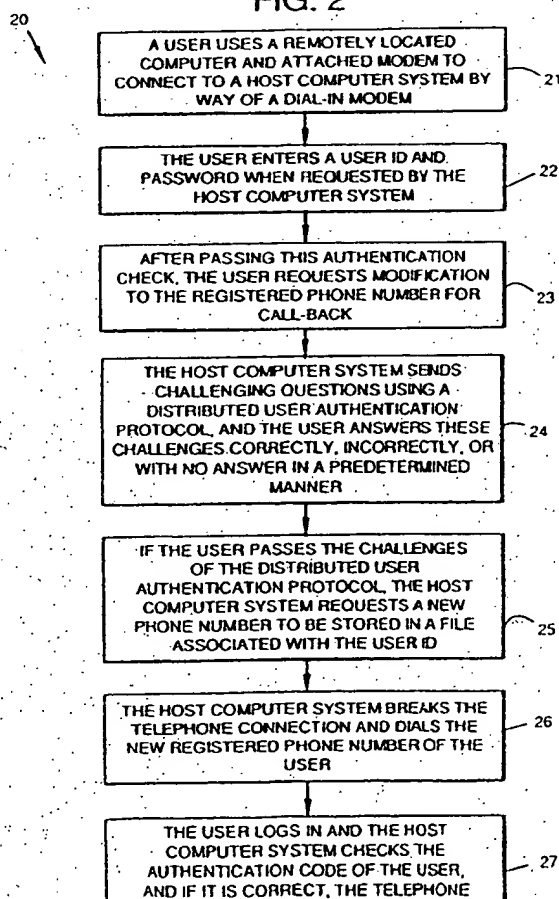
Inventor : Bathrick, Erwin W.
989 Unit D West Imperial Highway
La Habra, California 90631 (US)
Inventor : Kung, Kenneth
19029 Vickie Avenue
Cerritos, California (US)
Inventor : Matthews, Todd E.
2508 Jacaranda Street
Santa Ana, California 92701 (US)

Representative : Colgan, Stephen James et al
CARPMAELS & RANSFORD 43 Bloomsbury
Square
London WC1A 2RA (GB)

Enhanced call-back authentication method and apparatus.

A method and apparatus for remotely accessing a host computer from a remote location. The invention permits a user to remotely change a telephone number that allows the host computer to dial the user's current location. A user performs this change only after he has been strongly authenticated using a distributed user authentication protocol in addition to a simple user ID and password. This allows the user to move between locations and access the host computer, while the call-back feature protects the host computer from unauthorized intrusion. Also, a second registered user who does not have call-back authority may gain access to the host computer through a user that has call-back authority. In this case the user with call-back authority gains entry into the host computer and causes it to call the second registered user, who then enters a user ID and password to gain entry to the host computer. The present invention may be implemented in the host computer or in an interface coupled between the host computer and its modem.

FIG. 2



BACKGROUND

The present invention relates generally to methods for remotely accessing a host computer system, and more particularly, to methods that provide for remotely accessing a host computer system from a plurality of remote sites by a single user.

To protect a host computer system from outside intruders using a dial-in modem attached thereto, the host computer system may require users to use a call-back procedure. A typical conventional call-back procedure works as follows. A remote user of the host computer system registers a remote telephone number with the system administrator. This remote telephone number is one where the remote computer is located. Once the user is at the remote location, and after he signs on, the user provides a user identification code and password. Then, the host computer system will call back to the user at the registered phone number for further processing.

However, in the conventional procedure, a single user identification code permits the use of only one registered telephone number. If the user wants to use the host computer system from another location, he must register the new number ahead of time. For a user who frequently travels to different remote locations, this procedure does not permit him to switch telephone number easily and quickly. In addition, even if the telephone numbers of remote locations are known ahead of time, only one number can be registered at a time.

Consequently, the existing procedure is too inflexible to be widely used. It permits a user to use the system from one remote location and from one registered phone number. For a user who moves around, he has no way to access the host computer system from various remote sites.

Therefore it would be an advantage to have a remote access procedure that permits a remote user to move between remote locations and easily and quickly change the remote call-back telephone number to permit him to access the host computer system.

SUMMARY OF THE INVENTION

In order to provide for the above advantages, the present invention comprises a method that provides for remote accessing of a host computer system from a remote location. The method comprising the following steps. A remote user uses a remotely located computer and an attached modem to connect to the host computer system by way of a dial-in modem connected thereto. The remote user logs in by entering a user ID and password when requested by the host computer system.

After passing an authentication check by entering the correct user ID and password, the remote user requests a modification to his registered phone num-

ber for call-back. The host computer system sends challenging questions using a distributed user authentication protocol, and the remote user answers these challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner.

If the remote user passes the challenging questions posed by the distributed user authentication protocol, the host computer system requests the input of a new phone number that is stored in a file on the host computer system associated with the user ID of the remote user. The host computer system breaks the telephone connection and dials the new registered phone number of the remote user.

The remote user again logs in and the host computer system checks his authentication code, and if the authentication code is correct, the telephone connection is complete and the remote user has access to the host computer system. Using the present procedure, a user can remotely call in, authenticate himself using a distributed user authentication protocol, and change the registered phone number as frequently as it needs to be changed, while the host computer system maintains security through the call-back mechanism.

The present invention permits a user to remotely change the registered telephone number for himself such that the host computer system can dial-back to the current location of the user. A user may perform this change only after he has been strongly authenticated using the distributed user authentication protocol in addition to simple user identification and password mechanism. This permits the user to move around from one location to another and be able to access the host computer system, which uses the call-back feature to protect itself from unauthorized intrusion.

The present invention also permits a first registered user to allow another authorized user to gain remote access to a host computer. After the first user gains access to the host computer using the authentication procedure, the first user asks the computer to call a phone number at a location of a second registered user that does not have call-back authority.

The authentication procedure of the present invention may also be implemented in an interface disposed between a modem and a host computer. After a remote user passes the appropriate password checks, the interface calls back the user and connects the remote user (or the second user) to the host computer. This offers additional protection of the information stored on the host computer such that intruders cannot have direct access to any information that is stored in the host computer or in a remote location.

The present invention is therefore more user friendly and more useful than the initially described conventional procedure. For a user who moves

around, using the present procedure, he has the ability to access the host computer system from various remote sites.

BRIEF DESCRIPTION OF THE DRAWINGS

The various features and advantages of the present invention may be more readily understood with reference to the following detailed description taken in conjunction with the accompanying drawings, wherein like reference numerals designate like structural elements, and in which:

Fig. 1 shows the apparatus required to implement a procedure in accordance with the principles of the present invention; and

Fig. 2 is a flow diagram illustrating one procedure in accordance with the principles of the present invention.

DETAILED DESCRIPTION

Referring to Fig. 1, it shows the apparatus 10 used to implement a procedure in accordance with the principles of the present invention. The apparatus includes a host computer system 11, that has a dial-in modem 12 connected thereto. A user 13 is located at a remote location and has a computer 14, which may be a computer workstation or a terminal, for example. The computer 14 has a modem 15 connected thereto. The modem 15 and the dial-in modem 12 are connected by way of telephone lines 16, for example, or other conventional communications link.

Referring to Fig. 2, it shows a flow diagram illustrating one procedure 20 in accordance with the principles of the present invention that provides for remote accessing of the host computer system 11 from a remote location. The procedure 20 comprises the following steps, which may also be referred to as a procedural algorithm.

In step 21, a remote user 13 uses the computer 14 and attached modem 15 to connect to the host computer system 11 by way of the dial-in modem 12. In step 22, the remote user 13 enters a user ID and password when requested by the host computer system 11. In step 23, after passing this authentication check, the remote user 13 either requests modification to the registered phone number for call-back or proceeds to step 25.

In step 24, the host computer system 11 sends challenging questions using a distributed user authentication protocol, and the remote user 13 must answer these challenges correctly, incorrectly, or no answer in a predetermined manner. The distributed user authentication protocol is described in detail in U.S. Patent Application Serial No. _____, filed

In step 25, if the remote user 13 passes the challenges of the distributed user authentication protocol,

the host computer system 11 requests a new phone number to be stored in a file on the host computer system 11 for the user ID of the remote user 13. In step 26, the host computer system 11 breaks the telephone connection and dials the new registered phone number of the remote user 13. In step 27, the remote user 13 logs in and the host computer system 11 checks the authentication code of the remote user 13, and if it is correct, the telephone connection is complete.

The above-described procedure 20 has been implemented on a SUN computer workstation and has been demonstrated to work well. Call connections from outside the facility where the SUN workstation (host computer 11) is located have been established from various locations by different users. A user's registered phone number may be easily changed using this procedure. Attempted intrusion into the host computer 11 has not been successful.

The authentication procedure 20 of the present invention may also be implemented in the form of an interface 30 (shown in Fig. 1 that is disposed between the modem 12 and the host computer 11. After a remote user 13 passes the appropriate password checks, the interface 30 causes the modem 12 to call back the remote user 13 and connects the remote remote user 13 to the host computer 11. This offers additional protection of the information stored on the host computer 11 such that intruders cannot have direct access to any information that is stored in the host computer 11 or at a remote location that is accessible by the host computer 11 via the interface 30.

The authentication procedure of the present invention also permits a registered user 13 to allow a second registered user 13a to gain remote access to the host computer system 11. After passing the tests of the present authentication procedure, the registered user 13 asks the computer 11 (or interface 30) to call a phone number at a second remote location 17, such as a second remote modem 18 coupled to a second remote computer 19. This phone number may be at a location where the second authorized user 13a is located. The second user 13a desires to connect to the host computer 11, but is unable to because the user 13a is not registered for call-back authority.

With reference to Fig. 3, it shows a flow diagram illustrating this second procedure 40 in accordance with the principles of the present invention that provides for remote accessing of the host computer system 11 from a remote location by a second registered user 13a that does not have call-back authority. The second user 13a has a second computer 19 and a modem 18 connected thereto. The procedure 40 comprises the following steps. In step 41, a remote user 13 uses a computer 14 and attached modem 15 to connect to the host computer system 11 by way of a dial-in modem 12. In step 42, the remote user 13 enters a user ID and password when requested by the

host computer system 11. In step 43, after passing this authentication check, the remote user 13 enters a phone number of a second remote user 13a for call-back.

In step 44, the host computer system 11 sends challenging questions using a distributed user authentication protocol, and the remote user 13 must answer these challenges correctly, incorrectly, or no answer in a predetermined manner. In step 45, if the remote user 13 passes the challenges of the distributed user authentication protocol, the host computer system 11 breaks the telephone connection and dials the phone number of the second remote user 13a. In step 46, the second remote user 13a logs in and the host computer system 11 checks the authentication code of the second remote user 13a, and if it is correct, the telephone connection is complete.

Thus there has been described a new and improved method that provides for remotely accessing a host computer system from a plurality of remote sites by a single user. It is to be understood that the above-described embodiments are merely illustrative of some of the many specific embodiments which represent applications of the principles of the present invention. Clearly, numerous and varied other arrangements may be readily devised by those skilled in the art without departing from the scope of the present invention.

Claims

1. A method that provides for remote accessing of a host computer system from a remote location, said method comprising the following steps:
 - a remote user uses a remotely located computer having an attached modem to connect to the host computer system by way of a modem connected thereto;
 - the remote user logs in by entering a user ID and password when requested by the host computer system;
 - after passing an authentication check by entering the correct user ID and password, the remote user requests a modification to his registered phone number for call-back;
 - the host computer system sends challenging questions using a distributed user authentication protocol, and the remote user answers these challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner;
 - if the remote user passes the challenging questions posed by the distributed user authentication protocol, the host computer system requests the input of a new phone number that is stored in a file on the host computer system associated with the user ID of the remote user,

the host computer system breaks the telephone connection and dials the new registered phone number of the remote user, and

the remote user again logs in and the host computer system checks the authentication code, and if the authentication code is correct, the telephone connection is complete and the remote user has access to the host computer system.

2. The method of Claim 1 wherein the predetermined protocol is a predetermined distributed user authentication protocol.
3. The method of Claim 1 wherein the user answers the challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner.
4. A method that provides for remote accessing of a host computer system from a remote location, said method comprising the following steps:
 - a first remote user uses a remotely located computer having an attached modem to connect to the host computer system by way of a modem connected thereto;
 - the first remote user logs in by entering a user ID and password when requested by the host computer system;
 - after passing an authentication check by entering the correct user ID and password, the first remote user inputs a phone number of a second remote user for call-back;
 - the host computer system sends challenging questions using a distributed user authentication protocol, and the remote user answers these challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner;
 - if the first remote user passes the challenging questions posed by the distributed user authentication protocol, the host computer system breaks the telephone connection and dials the phone number of the second remote user and
 - the second remote user logs in and the host computer system checks the authentication code, and if the authentication code is correct, the telephone connection is complete and the second remote user has access to the host computer system.
5. The method of Claim 4 wherein the predetermined protocol is a predetermined distributed user authentication protocol.
6. The method of Claim 4 wherein the user answers the challenging questions by providing correct answers, incorrect answers, or no answers in a

predetermined manner.

7. Apparatus that provides for remote accessing of a host computer system from a remote location, said apparatus comprising:

a first modem attached to a first remotely located computer;

a second modem for use by the host computer system;

an interface coupled between the host computer and the second modem that implements the following procedure:

a remote user uses the first remotely located computer and the first modem to connect to the host computer system by way of the second modem and interface connected thereto;

the remote user logs in by entering a user ID and password when requested by the interface;

after passing an authentication check by entering the correct user ID and password, the interface sends challenging questions using a distributed user authentication protocol, and the remote user answers these challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner;

if the remote user passes the challenging questions posed by the distributed user authentication protocol, the interface requests the input of a phone number that is stored in a file on the interface;

the interface breaks the telephone connection and dials the phone number; and

the remote user again logs in and the interface checks the authentication code, and if the authentication code is correct, the telephone connection is complete and the remote user has access to the host computer system by way of the interface.

8. The apparatus of Claim 7 wherein after the remote user passes the authentication check by entering the correct user ID and password, the remote user requests a modification to his registered phone number for call-back, and wherein the requested phone number is stored in a file on the interface associated with the user ID of the remote user.

9. The apparatus of Claim 7 wherein after the remote user passes the authentication check by entering the correct user ID and password, the remote user requests a phone number for call-back that is associated with a second registered user, and if the remote user passes the challenging questions posed by the distributed user authentication protocol, the interface breaks the telephone connection and dials the phone number

of the second user, the second user logs in, and the interface checks the second user's authentication code, and if the authentication code is correct, the telephone connection is complete and the second user has access to the host computer system.

10. The apparatus of Claim 8 wherein the predetermined protocol is a predetermined distributed user authentication protocol.

11. The apparatus of Claim 8 wherein the user answers the challenging questions by providing correct answers, incorrect answers, or no answers in a predetermined manner.

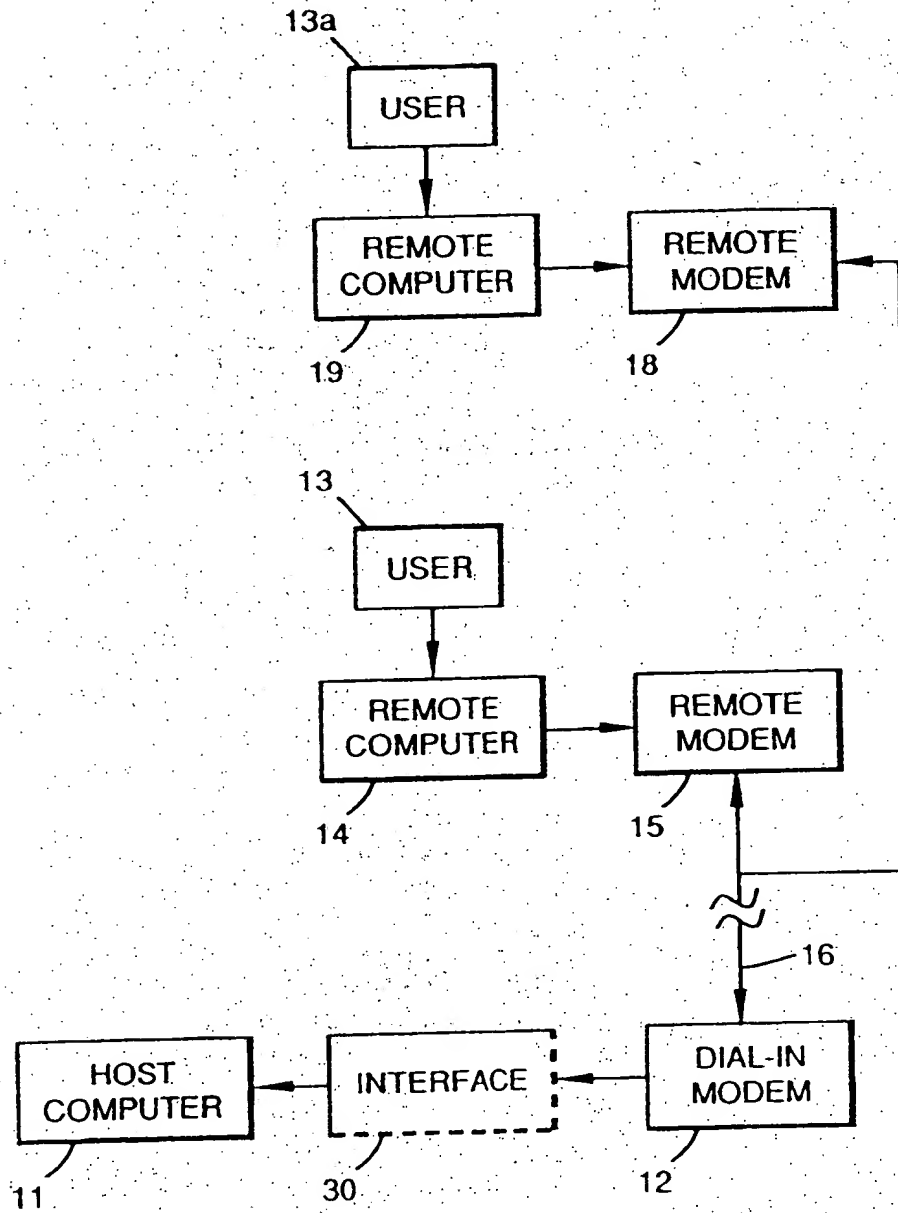


FIG. 1

FIG. 2

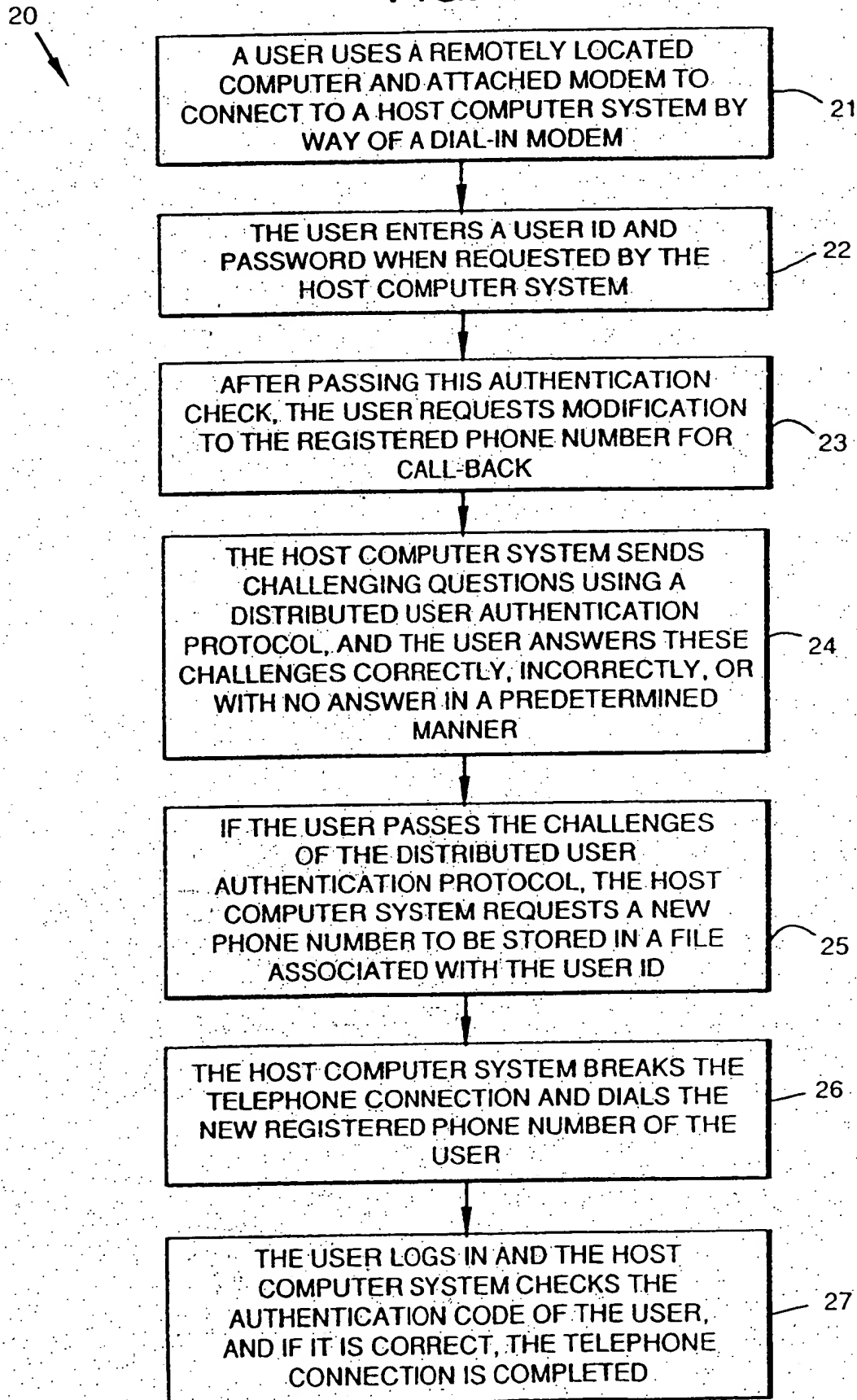
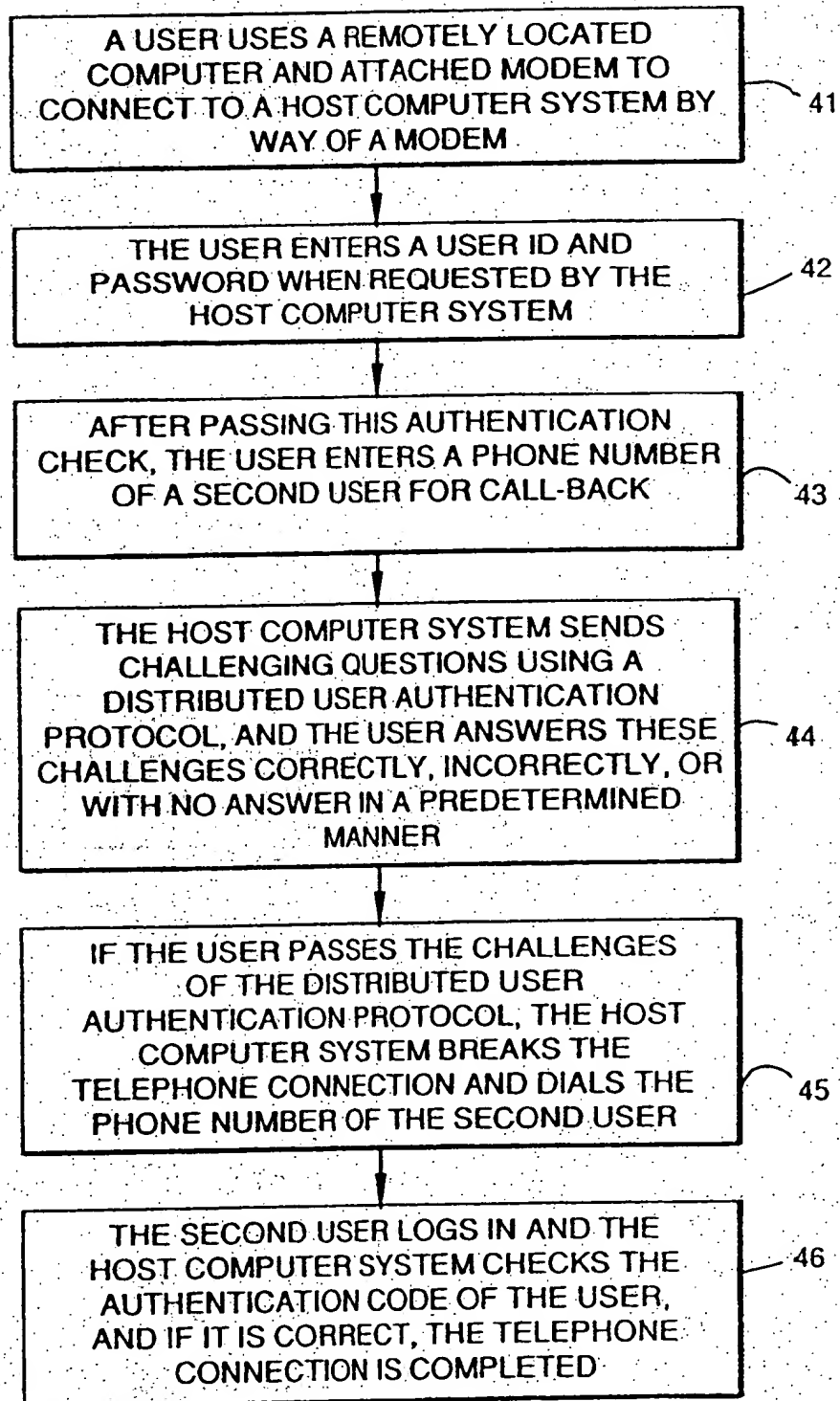


FIG. 3

40
↓



Europ. Pat. Amt (EPA)
(070) 3 40 20 40
TX 31651 epo.nl
FAX (070) 3 40 30 16

Zweigstelle
in Den Haag
Recherchen-
abteilung

Branch at
The Hague
Search
division

Des Brevets
Département à
La Haye
Division de la
recherche

□ Matschnig, Franz, Dipl.-Ing.
Siebensterngasse 54
1070 Wien
AUTRICHE

Datum/Date

15-05-1998

Zeichen/Ref./Réf.

P 7572eu

Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°

97890210.4

Anmelder/Applicant/Demandeur/Propriétaire/Proprietary/Titulaire

Veneklase, Brian J.

COMMUNICATION

The European Patent Office herewith transmits

- ☒ the European search report
- ☐ the declaration under Rule 45 EPC
- ☐ the partial European search report under Rule 45 EPC
- ☐ the supplementary European search report concerning the international application under Article 157(2) EPC relating to the above-mentioned European patent application. Copies of the documents cited in the search report are enclosed.

The following specifications given by the applicant have been approved by the Search Division :

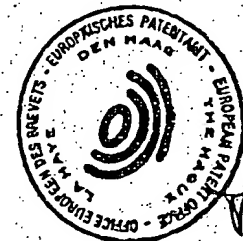
- ☒ Abstract
- ☒ Title
- ☐ Figure
- ☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.
- ☒ The following figure will be published with the abstract, since the Search Division considers that it better characterises the invention than the one indicated by the applicant.

Figure: 6

- ☐ Additional copy(copies) of the documents cited in the European search report.

REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.



EPO Form 1507 02.93

EP 0 558 326 A1

European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 93 30 1426

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. CL.5)
Y	EP-A-0 262 859 (AT&T)	1-3, 7, 8, 10, 11	G06F1/00
A	* figures 1, 2 * * column 4, line 41 - column 8, line 42 * * column 9, line 3 - column 10, line 25 *	4, 9	
Y	US-A-4 801 787 (SUZUKI)	1-3, 7, 8, 10, 11	
A	* figures 2, 3, 5 * * column 1, line 26 - line 31 * * column 6, line 7 - line 12 *	4-6	
Y	COMPUTER TECHNOLOGY REVIEW vol. V, no. 4, September 1985, LOS ANGELES US pages 25 - 31 G. HORNBACH 'CALLBACK SECURITY UNIT PROTECTS SWITCHED DATA COMMUNICATION SYSTEM'	1-3, 7, 8, 10, 11	
A	* the whole document *	4	
			TECHNICAL FIELDS SEARCHED (Int. CL.5)
			G06F G07F
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 04 JUNE 1993	Examiner WEISS P.
CATEGORY OF CITED DOCUMENTS			
<p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons & : member of the same patent family, corresponding document</p>			